Easily include additional information such as legal disclosures for acknowledgement as a different and distinct part of an envelope, accessible via a click.

On April 6, 2016, the U.S. Department of Labor issued its “final rule” on fiduciary responsibilities of those providing investment advice and products to retirement accounts and employee benefit plans. Going forward, those who provide investment advice to plans, plan sponsors, fiduciaries, plan participants, beneficiaries, IRAs, and IRA owners must either avoid payment that create conflicts of interest or comply with the protective terms of an exemption. You can learn more about the Fiduciary ruling by reading DocuSign’s Department of Labor “Final Rule” whitepaper.

Partnering with Financial Services Customers to Understand Their Challenges

In 2014, DocuSign convened with its Financial Services Consortium customers to understand platform and product enhancements for financial and fiduciary disclosures. The goal was to rethink how companies can present disclosures and other supporting documents to their signers. Companies need their customers to view and acknowledge these documents. But often, these documents are long and don’t need to be signed, only viewed. resulting in a large envelope (sometimes hundreds of pages), overwhelming the signing experience for clients. Often, clients give up and do not complete the document.

The Solution: DocuSign Supplemental Documents

With Supplemental Documents, companies can include supporting materials such as legal disclosures or terms & conditions documents as a different and distinct part of the envelope without distracting from the primary documents that need to be signed. This not only ensures compliance with a complete audit trail, but increases envelope completion rates and provides a superior signing experience. Customers may set properties for these supporting documents that define how the documents are displayed, the actions recipients must take, and if the documents can be downloaded when the envelope is completed. The result is a better experience for signers and all the tracking and auditing companies need in order to comply with industry requirements.
**Figure 1: DocuSign’s Supplemental Documents Critical Functionality**

<table>
<thead>
<tr>
<th>Sending</th>
<th>Signing</th>
<th>Compliance</th>
</tr>
</thead>
</table>
| ✓ Upload & order Supplemental Documents  
✓ Assign signer interaction (view and/or accept) per Supplemental Document  
✓ Template support | ✓ Visual display & interaction of Supplemental Document  
✓ View and accept per Supplemental Document  
✓ Print and download Supplemental Document | ✓ Complete audit trail captured on Certificate of Completion  
✓ All interactions taken on Supplemental Document recorded in the History of the envelope |

**Figure 2: DocuSign’s Supplemental Documents meet Broad Set of Use Cases**

- **Financial Services**
  - Account Opening  
  - Mortgage Lending  
  - Commercial Lending  
  - Client Service Documents  
  - M & A  
  - Fund Management
- **Insurance**
  - Account Opening  
  - Insurance Lending  
  - Policy Holder Claims  
  - Policy Delivery & Renewals  
  - HR: Employee onboarding; lifecycle, workers compensation
- **Healthcare Life Sciences**
  - Hospital Medical Records, Evidence & Documentation  
  - Medical Devices & Pharmaceuticals documentation  
  - HIPAA Compliance documents  
  - Clinical Research disclosures
- **Real Estate**
  - Commercial Property Transactions  
  - Residential Property Transactions  
  - Lease Agreements  
  - Mortgage Origination  
  - Title and Escrow
- **Retail**
  - Account Opening  
  - Retail/Product Lending

To see DocuSign Supplemental Documents in action, watch our product demo [here](#).